

Practitioner's Docket No. 2002DE429

JCO3 Rec PCT/PTO 02 MAY 2005 PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Uwe FALK et al.

Examiner:

Application No.: 10/525,151

Group No.:

Filed: 02/18/2005

For: COPOLYMERS MADE OF ALKYLENE OXIDES AND GLYCIDYL
ETHERS AND USE THEREOF AS POLYMERIZABLE EMULSIFIERS

Commissioner for Patent
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

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Dear Sir:

In accordance with the duty of disclosure provisions of 37 CFR §1.56, there is hereby provided certain information which the Examiner may consider material to the examination of the subject U.S. patent application. It is requested that the Examiner make this information of record if it is deemed material to the examination of the subject application. No admission is made that the information cited in this Statement is, or is considered to be, material to patentability nor a representation that a search has been made (other than search report(s) from a counterpart foreign application or a PCT International Search Report, if submitted herewith).

1. ☒ Enclosures accompanying this Information Disclosure Statement are:
 - 1a. ☒ Form PTO-1449 (PTO/SB/08a and/or PTO/SB/08b).
 - 1b. ☒ Copies of Information Disclosure Statement citations.
 - 1c. ☒ PCT or EP Search Report PCT/EP2003008463, Search Report Dated 10/24/2003,
Prelim Exam Report, Dated 05/05/2004
 - 1d. ☐ Copies of Co-pending U.S. Applications.
2. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(b) with the filing of the U.S. patent application. Accordingly, no fee or §1.97(e) Statement is required.
3. ☒ This Information Disclosure Statement is filed under 37 CFR §1.97(b) before the latter of three months after the U.S. patent application filing date or the first Office Action on the merits. Accordingly, no fee or §1.97(e) Statement is required.
4. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(c) after the first Office Action on the merits, but before a Final Office Action or a Notice of Allowance. The \$180.00 fee set forth in 37 CFR §1.17(p) in accordance with 37 CFR §1.97(c) is to be charged to Deposit Account No. 03-2060.
5. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(e) in that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. Accordingly, no fee is required.
6. ☐ This Information Disclosure Statement is filed in conjunction with a Request For Continued Examination. Accordingly, no fee or §1.97(e) Statement is required.
7. ☒ The English language documents identified by reference in the TRANSLATION Section of the foreign patents list of the attached 1449 Form are believed to be a concise statement, or the equivalent or substantial equivalent to the foreign language documents cited in the attached 1449 Form.
8. ☐ In addition to the documents cited, the Office's attention is directed to the following co-pending U.S. Application Serial Number(s), copies of which are attached for the convenience of the Office:

Respectfully submitted,


Richard P. Silverman, Registration No. 36,277

CUSTOMER NO. 25,255

Clariant Corporation, Industrial Property Department, 4000 Monroe Road, Charlotte, North Carolina 28205
Telephone: 704/331-7156, Facsimile: 704/331-7707

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 2

Complete if Known

Application Number	10/525,151
Filing Date	02/18/2005
First Named Inventor	Uwe FALK et al.
Art Unit	
Examiner Name	
Attorney Docket Number	2002DE429

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	AA	US- 4,814,514	03/21/1989	YOKOTA	
	AB	US- 4,966,981	10/30/1990	TAKAI	
	AC	US- 5,324,862	06/28/1994	YOKOTA	
	AD	US- 5,332,854	07/26/1994	YOKOTA	
	AE	US- 5,380,784	01/10/1995	USUKI	
	AF	US-			
	AG	US-			
	AH	US-			
	AI	US-			
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	AK	US-			
	AL	US-			
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
	BA	DE 101 04070 A1	08/02/2001	MECKING	Abstract	<input checked="" type="checkbox"/>
	BB	JP A 11-71340	03/16/1999	Asaki Denka	Abst & Claims	<input checked="" type="checkbox"/>
	BC	JP 2002097212	04/02/2002	KA0 Corp	Machine Translation	<input checked="" type="checkbox"/>
	BD	JP 2002080506	03/19/2002	KA0 Corp	Machine Translation	<input checked="" type="checkbox"/>
	BE	JP 61 085429	05/01/1986	DAINIPPON INK	Abstract	<input checked="" type="checkbox"/>

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Application Number	10/525,151
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First Named Inventor	Uwe FALK et al.
Art Unit	
Examiner Name	
Attorney Docket Number	2002DE429

Sheet 2 of 2

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	CA	M.B. URQUIOLA, et al., EMULSION POLYMERIZATION OF VINYL ACETATE USING A POLYMERIZABLE SURFACTANT I. KINETIC STUDIES, J. of Polymer Sci, Vol. 30, (1992) pp 2619-29	<input type="checkbox"/>
	CB	M.B. URQUIOLA, et al., EMULSION POLYMERIZATION OF VINYL ACETATE USING A POLYMERIZABLE SURFACTANT III. Mathematical Model, J. of Polymer Sci, Vol. 31, (1993) pp 1403-13	<input type="checkbox"/>
	CC		<input type="checkbox"/>
	CD		<input type="checkbox"/>
	CE		<input type="checkbox"/>
	CF		<input type="checkbox"/>
	CG		<input type="checkbox"/>
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